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| 09/742,363      | 12/22/2000  | Tomoo Yamaguchi      | Q62262              | 8173             |

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EXAMINER

AHMED, SHEEBA

ART UNIT

PAPER NUMBER

1773

DATE MAILED: 10/01/2003

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Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/742,363

Applicant(s)

YAMAGUCHI ET AL.

Examiner

Sheeba Ahmed

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 07 July 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-8 and 10-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 and 10-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_ 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on June 18, 2003 and July 7, 2003 has been entered. **Claims 1-8 and 10-18 are now pending.**

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ogawa (JP 11-80690) in view of Southwick et al. (US 5,776,998).

Ogawa discloses a pressure sensitive adhesive (PSA) composition and an adhesive sheet comprising the pressure sensitive adhesive composition wherein the PSA comprises 100 parts by weight of an elastomer such as natural rubber, 50-150 parts by weight of a tackifier and 0.1 to 10 parts by weight of a polyisocyanate compound such as 4,4'-diphenylmethane diisocyanate.

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Ogawa et al. do not specifically state that the process of making their pressure sensitive adhesive does not use a solvent.

However, Southwick et al. teach processes for making adhesives by shearing a mixture of a photoinitiator and a polymer formulation so that an effectively cured adhesive film is produced with a thickness of up to an inch or more and further teach that the use of non-aqueous solvents is undesirable because of environmental hazards and the cost of non-aqueous solvent removal (Column 1, lines 39-49).

Accordingly, it would have been obvious to one having ordinary skill in the art to make the pressure sensitive adhesive composition taught by Ogawa et al. without the use of solvents given that Southwick et al. specifically teach that the use of non-aqueous solvents is undesirable because of environmental hazards and the cost of non-aqueous solvent removal. With regards to the limitation that the natural rubber has a Mooney viscosity of 20 to 100, i.e., claim 3, the Examiner takes the position that the rubber disclosed by Ogawa inherently meets such a limitation given that the chemical composition and structure of the rubber disclosed by Ogawa and that of the claimed invention are identical.

3. Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sashihara et al. (US 6,251,517 B1) in view of Southwick et al. (US 5,776,998).

Sashihara et al. disclose a pressure sensitive adhesive (PSA) composition comprising 100 parts by weight of natural rubber, 50 parts by weight of terpene-phenolic resin and 40 parts by weight of an isocyanate hardening agent having three functional

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groups (See Examples 1 and 2). The PSA composition may be prepared as a coating liquid and applied to a support sheet and dried to produce a PSA sheet (Column 7, lines 36-45). The thickness of the coated PSA layer may be 30 microns after drying (See Example 1). Example 1 states that a mixture of n-butyl methacrylate and the polymerization initiator was added to the natural rubber, which was already kneaded by a pressure kneader, and then latex polymerization reaction was performed at 80°C.

Sashihara et al. do not specifically state that the process of making their pressure sensitive adhesive does not use a solvent.

However, Southwick et al. teach processes for making adhesives by shearing a mixture of a photoinitiator and a polymer formulation so that an effectively cured adhesive film is produced with a thickness of up to an inch or more and further teach that the use of non-aqueous solvents is undesirable because of environmental hazards and the cost of non-aqueous solvent removal (Column 1, lines 39-49).

Accordingly, it would have been obvious to one having ordinary skill in the art to make the pressure sensitive adhesive composition taught by Sashihara et al. without the use of solvents given that Southwick et al. specifically teach that the use of non-aqueous solvents is undesirable because of environmental hazards and the cost of non-aqueous solvent removal. With regards to the limitation that the natural rubber has a Mooney viscosity of 20 to 100, i.e., claim 3, the Examiner takes the position that the rubber disclosed by Sashihara et al. inherently meets such a limitation given that the chemical composition and structure of the rubber disclosed by Sashihara et al. and that of the claimed invention are identical.

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4. Claims 1-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Creegan et al. (US 3,914,484) in view of Southwick et al. (US 5,776,998).

Creegan et al. disclose a pressure sensitive adhesive (PSA) composition and labels employing such a composition (Column 1, lines 5-10). The PSA comprises natural rubber and a tacky resin and a polyisocyanate, preferably a diisocyanate (Column 2, lines 10-20). The tacky resin may be a rosin ester or a terpene resin (Column 4, lines 11-50). The amount of tacky resin may range from 30 to 70% by weight and the ratio by weight of the tacky resin to elastomeric base may range from 1:2 to 2:1 (Column 4, lines 59-67). Example 1 shows that the composition may comprise 8 parts by weight of diisocyanate relative to 100 parts of the rubber (i.e., 6 lbs. of diisocyanate relative to 70 lbs. of rubber) and that the PSA composition is coated on a paper web.

Creegan et al. do not specifically state that the process of making their pressure sensitive adhesive does not use a solvent.

However, Southwick et al. teach processes for making adhesives by shearing a mixture of a photoinitiator and a polymer formulation so that an effectively cured adhesive film is produced with a thickness of up to an inch or more and further teach that the use of non-aqueous solvents is undesirable because of environmental hazards and the cost of non-aqueous solvent removal (Column 1, lines 39-49).

Accordingly, it would have been obvious to one having ordinary skill in the art to make the pressure sensitive adhesive composition taught by Creegan et al. without the use of solvents given that Southwick et al. specifically teach that the use of non-

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aqueous solvents is undesirable because of environmental hazards and the cost of non-aqueous solvent removal. With regards to the limitation that the natural rubber has a Mooney viscosity of 20 to 100, i.e., claim 3, the Examiner takes the position that the rubber disclosed by Creegan et al. inherently meets such a limitation given that the chemical composition and structure of the rubber disclosed by Creegan et al. and that of the claimed invention are identical.

5. Claims 10-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ogawa (JP 11-80690) in view of Southwick et al. (US 5,776,998) and Applicants own admission.

Ogawa and Southwick et al., as discussed above, do not specifically teach that the PSA composition is applied to a substrate via calendaring or extrusion coating.

However, the Applicants, on Page 1 of the Specification, specifically state that it is known to apply a PSA composition on a substrate via a calender roll coater, extruder or the like.

Hence, the Examiner takes the position that it would have been obvious to one having ordinary skill in the art to apply the PSA composition disclosed by Ogawa to a substrate via calendaring or extrusion coating given that the Applicants admit that it is known in the art to apply a PSA composition to a substrate via calendaring or extrusion coating. With regards to the limitation that the natural rubber has a Mooney viscosity of 20 to 100, i.e., claim 12, the Examiner takes the position that the rubber disclosed by Ogawa et al. inherently meets such a limitation given that the chemical composition and

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structure of the rubber disclosed by Ogawa et al. and that of the claimed invention are identical.

6. Claims 10-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sashihara et al. (US 6,251,517 B1) in view of Southwick et al. (US 5,776,998) and Applicants own admission.

Sashihara et al. and Southwick et al., as discussed above, do not specifically teach that the PSA composition is applied to a substrate via calendaring or extrusion coating.

However, the Applicants, on Page 1 of the Specification, specifically state that it is known to apply a PSA composition on a substrate via a calender roll coater, extruder or the like.

Hence, the Examiner takes the position that it would have been obvious to one having ordinary skill in the art to apply the PSA composition disclosed by Ogawa to a substrate via calendaring or extrusion coating given that the Applicants admit that it is known in the art to apply a PSA composition to a substrate via calendaring or extrusion coating. With regards to the limitation that the natural rubber has a Mooney viscosity of 20 to 100, i.e., claim 12, the Examiner takes the position that the rubber disclosed by Sashihara et al. inherently meets such a limitation given that the chemical composition and structure of the rubber disclosed by Sashihara et al. and that of the claimed invention are identical.



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7. Claims 10-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Creegan et al. (US 3,9147,484) in view of Southwick et al. (US 5,776,998) and Applicants own admission.

Creegan et al. and Southwick et al., discussed above, do not specifically teach that the PSA composition is applied to a substrate via calendaring or extrusion coating.

However, the Applicants, on Page 1 of the Specification, specifically state that it is known to apply a PSA composition on a substrate via a calender roll coater, extruder or the like.

Hence, the Examiner takes the position that it would have been obvious to one having ordinary skill in the art to apply the PSA composition disclosed by Ogawa to a substrate via calendaring or extrusion coating given that the Applicants admit that it is known in the art to apply a PSA composition to a substrate via calendaring or extrusion coating. . With regards to the limitation that the natural rubber has a Mooney viscosity of 20 to 100, i.e., claim 3, the Examiner takes the position that the rubber disclosed by Creegan et al. inherently meets such a limitation given that the chemical composition and structure of the rubber disclosed by Creegan et al. and that of the claimed invention are identical.

### ***Response to Arguments***

8. Applicant's arguments with respect to claims 1-8 and 10-18 have been considered but are moot in view of the new ground(s) of rejection.

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***Conclusi n***

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sheeba Ahmed whose telephone number is (703)305-0594. The examiner can normally be reached on Mondays and Thursdays from 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul Thibodeau can be reached on (703)308-2367. The fax phone numbers for the organization where this application or proceeding is assigned are (703)305-5408 for regular communications and (703)305-3599 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)306-5665.



Sheeba Ahmed  
September 21, 2003



Paul Thibodeau  
Supervisory Patent Examiner  
Technology Center 1700